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SECURITIES AND EXCHANGE COMMISSION  
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UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

- against -

ALPINE SECURITIES CORPORATION,

Defendant.

**17-cv-4179-DLC**

**ECF CASE**

**DECLARATION OF TERRY R. MILLER IN SUPPORT OF PLAINTIFF  
UNITED STATES SECURITIES AND EXCHANGE COMMISSION'S  
MOTION FOR PARTIAL SUMMARY JUDGMENT**

I, Terry R. Miller, declare under penalty of perjury, in accordance with 28 U.S.C. § 1746, that the following statements are true and correct, and further that this declaration is made on my personal knowledge and that I am competent to testify as to the matters stated herein:

1. I am a Trial Counsel in the U.S. Securities and Exchange Commission's ("Commission") Denver Regional Office, and I am one of the attorneys representing the Commission in this matter.

2. The Commission is contemporaneously submitting a set of exhibits in support of its Motion for Partial Summary Judgment, which are listed in the Commission's Rule 56.1 Statement.

3. Exhibits 1-3, 7-13, 23, and 36 are true and correct copies of documents made publicly available on websites maintained by FinCEN, FINRA, and the Commission. Exhibit 6 is a true and correct copy of a document prepared by FINRA.

4. Exhibits 4-5, 14-22, 24-35, 37-42, 44-48, 50-54, and 56-67 are true and correct copies of documents produced by Defendant Alpine Securities Corporation in response to Commission requests. Exhibit 4 contains excerpts of a document produced by Defendant.

5. Exhibits 43, 49, and 55 contain true and correct copies of data filtered from a large set of electronic information provided by Defendant in response to Commission requests.

I declare under penalty of perjury that the foregoing statements are true and correct and that this Declaration was executed on December 6, 2017 in Denver, Colorado.

s/ Terry R. Miller  
Terry R. Miller  
Trial Counsel  
Division of Enforcement